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PTO-1390 (Rev. 09-2007)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

038871.58287US

LLS APPLICATION NO. (If known, see 37 CER 1.5)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/594,618							
INTE	RNAT	FIONAL APPLICATION NO. PCT/EP2005/005154	INTERNATIONAL FILING DATE May 12, 2005		PRIORITY DATE CLAIMED May 25, 2004 and October 28, 2004		
TITE	E OF I	INVENTION					
ДФР	LICAN	COOLING APPARATUS COMPRISIN IT(S) FOR DO/EO/US	G A I HERMAL INTERFACE AN	D METHOD FOR KE	ECONDENSING A CRYOGEN GAS		
			Timothy John HU	GHES			
.≟òpli	cant he	erewith submits to the United States Des	signated/Elected Office (DO/E	O/US) the following	g items and other information:		
1.		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2.	\boxtimes	This is a SECOND or SUBSEQUENT	submission of items concerning	ng a submission ur	nder 35 U.S.C. 371.		
3 .	\boxtimes	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4.	\boxtimes	The US has been elected (Article 31).					
5 <i>:</i>		A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
		a. is attached hereto (require	ed only if not communicated by	y the International F	Bureau).		
ī		b. has been communicated b	y the International Bureau.				
		c. Is not required, as the app	lication was filed in the United	States Receiving	Office (RO/US).		
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
		a. is attached hereto.					
		b. has been previously subm	itted under 35 U.S.C. 154(d)(4	4).			
7.		Amendments to the claims of the Interes	national Application under PC	T Article 19 (35 U.	S.C. 371(c)(3))		
		a. are attached hereto (requir	red only if not communicated	by the Internationa	ıl Bureau).		
		b. have been communicated by the International Bureau.					
		c. have not been made; however, the time limit for making such amendments has NOT expired.					
		d. have not been made and v	will not be made.				
8.		An English language translation of the	amendments to the claims ur	nder PCT Article 19	9 (35 U.S.C. 371(c)(3)).		
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (one (1) page).					
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
	Items	s 11 to 20 below concern document(s)) or information included:				
11.		An Information Disclosure Statement u	inder 37 CFR 1.97 and 1.98.				
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13.		A preliminary amendment.					
14.		An Application Data Sheet under 37 CFR 1.76.					
15.		A substitute specification.					
16.		A power of attorney and/or change of address letter.					
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLIC	ATION NO. (if kn	own, see 37 CFR 1.	.5) INTERNATIONA	L APPLICATION NO	. ATTORNEY'S DOC	CKET NUMBER
	10/594,618	3	PCT/	EP2005/005154	038871.5	58287US
T	ther items or infom extension of Time	nation: A copy Under 37 CFR 1		Missing Requirements	s dated October 31, 2007	7 and a Petition fo
т	he following fees h	ave been submitted	<u> </u>		CALCULATIONS	PTO USE ONLY
21. B	asic national fee (3	37 C.F.R. §1.492(a)))	\$310.00	\$	
<u></u> ½2.	xamination fee (37	C.F.R. §1.492(c))			<u>Ψ</u>	
by IPEA/L	JS indicates all clai	ms satisfy provision	national preliminary exarns of PCT Article 33(1)-(4	\$0.00	\$	
If the written or IPEA/US Search fee (37 International Search Sea	indicates all claims CFR 1.445(a)(2)) I nal Searching Auth earch Report prepa	S or the International satisfy provisions of has been paid on the ority	al preliminary examination of PCT Article 33(1)-(4). The international application of than the US and provide	on to the USPTO as an \$100 led to the Office or		
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- Thi other ditact		AL OF 21, 22 AND			\$	
listing in c	compliance with 37 d in an electronic mass \$260 for each add	CFR 1.821(c) or (e) nedium) (37 CFR 1.4 litional 50 sheets of Number of each	d in paper over 100 sheet) in an electronic medium 492(j)). paper or fraction thereofor additional 50 or fraction up to a whole number)	n or computer program f.		
- 100	/ 50 =			× 260	\$	
		•	n fee, examination fee, o e (37 CFR 1.492(h)).	r the oath or declaration	\$130.00	
CLAIMS	NUME	BER FILED N	UMBER EXTRA	RATE		
Total clair	ns	- 20		x 50	\$	
Independent	claims	- 3		x 210	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ 370	\$	
			TOTAL OF A	BOVE CALCULATIONS	\$ = \$130.00	
Applicant of	laims small entity s	status. See 37 CFR	1.27. Fees above are re	educed by 1/2.		
				SUBTOTAL	_= \$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						
				TOTAL NATIONAL FEI	≡ = \$130.00	
			1.21 (h)). The assignmer 40.00 per property			
1/2008 LLANDG	RA 00000059 1059	4618	тс	TAL FEES ENCLOSE	D = \$130.00	
C:1617		130.00 OP			Amount to be refunded:	\$
					Amount to be charged:	\$

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a.		A check in the amount of \$ to cover the above fees is enclosed.				
b.		Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 038871.58287US) in the amount of \$\\$ to cover the above fees. A duplicate copy of this sheet is enclosed.				
C.	\boxtimes	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 038871.58287US). A duplicate copy of this sheet is enclosed.				
d.		Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. The PTO-2038 should only be mailed or faxed to the USPTO. However, when paying the basic national fee, the PTO-2038 may NOT be faxed to the USPTO.				
ن.		ADVISORY: If filing by EFS-Web, do NOT attach the PTO-2038 form as a PDF along with your EFS-Web submission. Please be advised that this is not recommended and by doing so your credit card information may be displayed via PAIR. To protect your information, it is recommended paying fees online by using the electronic payment method.				
and	l gran	Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed atted to restore the International Application to pending status. SIGNATURE Gary R. Edwards / James F. McKeown NAME Intellectual Property Group P.O. Box 14300 Application to pending status. SIGNATURE Gary R. Edwards / James F. McKeown NAME 31,824/25,406 REGISTRATION NUMBER				
		Washington, D.C. 20044-4300 Tel. No. (202) 624-2500 Fax No. (202) 628-8844 DATE				



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United States Patent and Trademark Office

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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/594,618 Timothy John Hughes 038871.58287US

23911 CROWELL & MORING LLP INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

INTERNATIONAL AF	PLICATION NO.					
PCT/EP05	PCT/EP05/05154					
I.A. FILING DATE	PRIORITY DATE					
05/12/2005	05/25/2004					

CONFIRMATION NO. 5012 371 FORMALITIES LETTER



Date Mailed: 10/31/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 09/28/2006
- Copy of the International Search Report filed on 09/28/2006
- Copy of IPE Report filed on 09/28/2006
- Copy of Annexes to the IPER filed on 09/28/2006
- Preliminary Amendments filed on 09/28/2006
- Information Disclosure Statements filed on 09/28/2006
- Request for Immediate Examination filed on 09/28/2006
- U.S. Basic National Fees filed on 09/28/2006
- Priority Documents filed on 09/28/2006
- Specification filed on 09/28/2006
- Claims filed on 09/28/2006
- Abstracts filed on 09/28/2006
- Drawings filed on 09/28/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

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The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225